



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO				<i>Complete If Known</i>	
				Application Number	10/720,372
				Filing Date	November 24, 2003
				First Named Inventor	Ghiron
				Art Unit	-
				Examiner Name	-
Sheet		of		Attorney Docket Number	SIO-0107

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
JTR		L.A. COLDREN (PI), Y.A. AKULOVA, E.M. STRZELECKA, B.J. THIBEAULT, J.C. KO and D.A. LOUDERBACK "VCSEL ARRAY PACKAGING FOR FREE SPACE INTER CONNECTS", University of CA Santa Barbara, CA; Report 1996-97 for MICRO Project 96-050			
JTR		P.K. TIEN, R. ULRICH; "Theory of Prism-Film Coupler and thin-film Light Guides", Journal of the Optical Society of America, Vol. 60, number 10, October 1970			
JTR		R. ULRICH; "Theory of the Prism-Film Coupler by Plane-Wave Analysis", Journal of the Optical Society of America, Vol. 60, number 10, October 1970			
JTR		HIROSHI NISHIHARA ; MASA MITSU, HARUNA, TOSHIAKI SUHARA, "Optical Integrated Circuits", 1985; Ohmsha, Ltd.			
		R. ULRICH, "Optimum Excitation of Optical surface Waves", Journal of the Optical Society of America, Vol. 61, No. 11, pp. 1467-1477, Nov. 1971.			

Examiner Signature	<i>July 1/2009</i>	Date Considered	11/3/05
--------------------	--------------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.